

## **CHECKLIST FOR APPLICATION FOR REGISTRATION AS REPOSITORY PARTICIPANT**

Sr. No.	Particulars	Annexure	Put (✓/ mark)
1.	RP Master Creation Form (Provide on plain paper – duly stamped and signed by authorised signatory on all pages )	RP Master Creation Form	
<b>Other Annexures on Letterhead of company duly stamped and signed by authorised signatory</b>			
2.	Details of registration of the applicant company, its holding company, group companies, associates and subsidiaries. _____ Certified copy of PAN card of the applicant company.	<b>A</b>	
3.	Brief profile of the applicant company.	--	
4.	Details of Key Management Personnel, Compliance Officer and Director.	<b>B</b>	
5.	Organizational Structure	--	
6.	Board Resolution - Authorizing official/s of the company to sign on behalf of the company on various documents	<b>C</b>	
7.	CCRL – RP Agreement (on Rs. 600/- Stamp paper - Mumbai)	CCRL – RP Agreement Format	
8.	Authorized signatory’s Aadhaar copy and PAN Card copy	--	
9.	Certified Copy of SEBI/RBI registration certificate dated _____ <b>Additionally in case of renewal:</b> <b>Original</b> SEBI/RBI registration certificate dated _____. And application copy for registration renewal.	--	
10.	Net worth certificate and computation of net worth ( <b>in original</b> ) based on latest audited financial statements of the applicant company obtained from statutory auditors.	--	
11.	Certified copies of Audited financial statements of last 3 years (annual report of last 2 years)	--	
12.	Memorandum and articles of association (if web based link or soft copy is available, please provide.)	--	
13.	Certificate of incorporation	--	
14.	Undertaking in compliance with obtain acknowledged receipt of “Rights and Obligations of the Depositor/Client and Repository Participant”	<b>D</b>	

*Signature of Director/ authorised signatory with stamp*